



Section 3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Daniel B. Stewart et al.

Examiner:

Serial No.: 09/751,189

Art Unit 2152

Filing Date: December 29, 2000

Atty Docket No.: NTL-3.2.160/3597
(12740RO)

For: Network Protocols For Distributing Functions Within a Network

Box Missing Parts (Box Fee)
Assistant Commissioner for Patents
Washington, D.C. 20231

SUBMISSION OF MISSING PARTS

Sir:

In response to the Notice to File Missing Parts dated February 12, 2001, Applicants
enclose substitute drawings for Figs. 1 to 9. A check for the two month extension of time fee of
\$390.00 is enclosed herewith.

Respectfully submitted,

Lawrence E. Russ
Reg. No. 335,342
COBRIN & GITTES
750 Lexington Avenue
New York, New York 10022
(212) 486-4000

Date: June 7, 2001

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.



Complete if Known

Serial Number	09/751,189
Filing Date	December 29, 2000
First Named Inventor	Daniel B. Stewart et al.
Examiner Name	
Group/Art Unit	2152
Attorney Docket No.	NTL-3.2.160/3597 (12740RO)

TOTAL AMOUNT OF PAYMENT (\$ 390.00)

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: [03-2317]

Deposit Account Name: [COBRIN & GITTES]

☐ Charge any additional fee required under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Code	(\$)	Code	(\$)		
104	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (2)					\$ 0

2. EXTRA CLAIM FEES

			Extra Claim	Fee below	Fee Paid
Total Claims		-20 =	x	18	
Indep. Claims		-3 =	x	80	
Multiple Dependent					

** or number previously paid, if greater; for reissues, see below

Large Entity		Small Entity		
Code	(\$)	Code	(\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original
				SUBTOTAL (2)
				(\$) 0

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Code	(\$)	Code	(\$)		
105	130	205	65	Surcharge - Late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	Request for Reexamination	
112	920*	112	920*	Req. publication of SIR prior to Examiner action	
113	1,840	113	1,840	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension within first month	
116	390	216	195	Extension within second month	\$390.00
117	890	217	445	Extension within third month	
118	1,390	218	695	Extension within fourth month	
128	1,890	228	945	Extension within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	241	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submit Info. Disclosure Stmt	
581	40	581	40	Patent Assignment per property	
146	710	246	355	Filing a submission after final rejection 37CFR1.129(a)	
149	710	249	355	Each additional invention to be examine 37CFR129b	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design appln.	
Other fee (specify)					
*Reduced by Basic filing fee Paid					
SUBTOTAL (3)					\$390.00

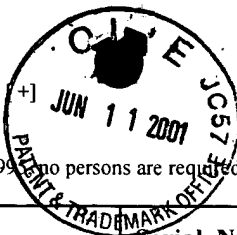
SUBMITTED BY

Name (Print Type)	Lawrence E. Russ	Registration Number	35,342	Telephone	(212) 486-4000
Signature				Date	June 7, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SENT TO: Assistant Commissioner for Patents, Washington, DC 20231. G:\Apps\WPDATA\NORTEL\3597\FeeTransmExtOfTime.wpd

Please type a plus sign (+) inside this box [+]



PTO/SB/21 (08-00)

Approved for use through 10/31/2001. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

(5)

Under the Paperwork Reduction Act of 1996, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Serial Number	09/751,189
	Filing Date	December 29, 2000
	First Named Inventor	Daniel B. Stewart et al.
	Group Art Unit	2152
	Examiner Name	
Total Number of Pages in this Submission [12]	Atty. Docket No.	NTL-3.2.160/3597 (12740RO)

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (Please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	- Check for \$390.00
<input type="checkbox"/> Information Disclosure Statement with attached IDS Citation form PTO1449 and copies of References Cited	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks: <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge additional fees or credit any overpayment associated with this communication to Deposit Account No. 03-2317.	
<input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Any additional filing fees required under 37 CFR § 1.16. <input type="checkbox"/> Any patent application processing fees under 37 CFR § 1.17.	

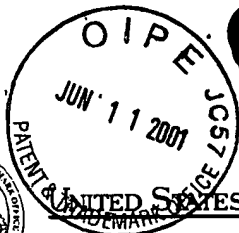
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	COBRIN & GITTES Lawrence E. Russ, Reg. No. 35,342
Signature	<i>Lawrence E. Russ</i>
Date	June 7, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: June 7, 2001		
Typed or printed name	Rosemarie Medina	
Signature	<i>Rosemarie Medina</i>	[Date]: June 7, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231. - G:\Apps\WPDATA\NORTEL\3597\Transmit\MissingPartsDrawings.wpd



UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/751,189	12/29/2000	Daniel B. Stewart	NTL-3.2.160/3597 (12740RO)

CONFIRMATION NO. 8529

FORMALITIES LETTER



OC000000006143526

 COBRIN & GITTES
 750 Lexington Avenue, 21 floor
 New York, NY 10022

Date Mailed: 06/04/2001

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on to the Notice mailed and it has been entered into the nonprovisional application. The reply, however, doesnot include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Request Woldeyes
 Customer Service Center
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE